

## 40 Graph Colorings (Section 5.6)

### 40.1 Graph Colorings (Section 5.6)

**Definition 40.1**

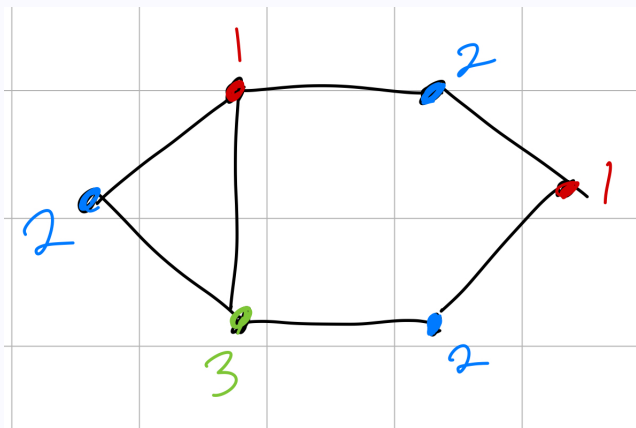
A **proper coloring** of a graph  $G$  is a labeling (coloring) of the vertices with 1 specific color so that adjacent vertices are different colors.

We say a graph is  **$k$ -colorable** if it can be properly colored with  $k$  colors.

The **chromatic number** of  $G$ , denoted  $\chi(G)$ , is the minimum  $k$  value so that  $G$  is  $k$ -colorable.

**Example 40.2**

An example of a coloring for a given graph:



Here,  $\chi(G) = 3$ .

**Definition 40.3**

Let  $A$  be a real, symmetric  $n \times n$  matrix. The **Rayleigh Quotient** is

$$R(A, \vec{x}) = \frac{\vec{x}^T A \vec{x}}{\vec{x}^T \vec{x}} \quad \vec{x} \neq \vec{0}$$

**Theorem 40.4**

Let  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$  be the eigenvalues of a real, symmetric matrix. Then

$$\lambda_n \leq R(A, \vec{x}) \leq \lambda_1$$

For all  $\vec{x} \in \mathbb{R}^n \setminus \{\vec{0}\}$ .

Suppose now  $A$  is the adjacency matrix, and we pick  $\vec{x} = (1, 1, \dots, 1)^T$  ( $G$  may NOT be regular).

$$R(A, \vec{x}) = \frac{[1 \ 1 \ \dots \ 1] [A] \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix}}{[1 \ 1 \ \dots \ 1] \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix}} = \frac{[1 \ 1 \ \dots \ 1] [A] \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix}}{n} = \frac{[1 \ 1 \ \dots \ 1] \begin{bmatrix} \deg(v_1) \\ \deg(v_2) \\ \vdots \\ \deg(v_n) \end{bmatrix}}{n} = \frac{\sum_{i=1}^n \deg(v_i)}{n}$$

Which is the average degree of the graph.

Thus, we have the following:

**Lemma 40.5**

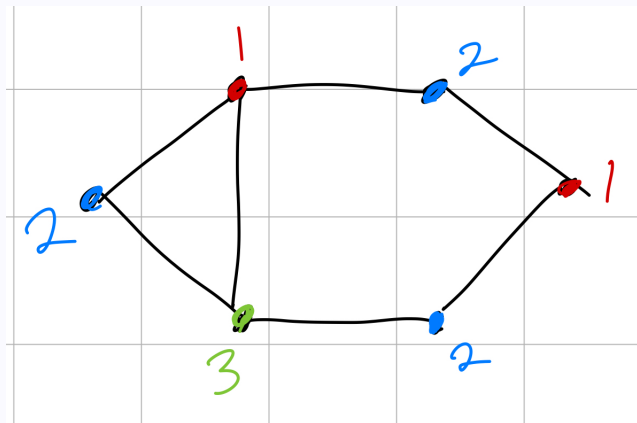
For any graph  $G$ , the largest eigenvalue  $\lambda_1$  satisfies average degree =  $R(A, \vec{x}) \leq \lambda_1 \leq \Delta(G)$ .

**Definition 40.6**

The induced subgraph  $H$  of  $G$  is the graph where  $H$  is a subgraph of  $G$ , and for any vertices  $u, v \in V(H)$ , if  $u \sim v$  in  $G$ , we must have  $u \sim v$  in  $H$ .

**Example 40.7**

Take the following graph  $G$ :



The second graph is a subgraph of  $G$ , but it is not an induced subgraph because it is missing  $1 \sim 3$ . The third graph is an induced subgraph.

**Theorem 40.8**

If  $H$  is an induced subgraph of  $G$ , and  $\lambda'_k \leq \dots \leq \lambda'_1$  are the eigenvalues of  $H$ ,  $\lambda_k \leq \dots \leq \lambda_1$  are the eigenvalues of  $G$ , then  $\lambda'_1 \leq \lambda_1$  (largest eigenvalue is smaller)

**Definition 40.9**

We say  $G$  is **k-critical** if  $\chi(G) = k$ , but ANY proper subgraph  $H$  has  $\chi(H) < k$ .

Note that any odd cycle is 3 critical: any proper subgraph will be bipartite, so only 2 colors will be needed.

**Theorem 40.10**

If  $G$  is  $k$ -critical, then the minimum degree =  $\delta(G) \geq k - 1 = \chi(G) - 1$

Now we know:

1. Average degree  $\leq \lambda_1$
2.  $\lambda_1(H) \leq \lambda_1(G)$  if  $H$  is an induced subgraph of  $G$
3. If  $H'$  is  $k$ -critical,  $\delta(H') \geq k - 1 = \chi(H') - 1 \implies \chi(H') \leq \delta(H') + 1$ .

**Theorem 40.11** (Wilf)

For any graph  $G$  with largest eigenvalue  $\lambda_1$ ,

$$\chi(G) \leq 1 + \lambda_1$$

*Proof.* Given  $G$ , we can "reduce"  $G$  to an induced subgraph  $H'$  so that  $\chi(H') = k$ .  
 $\implies \chi(H') \leq_3 \delta(H') + 1 \leq \text{average degree} + 1 \leq_1 \lambda_1(H') + 1 \leq_2 \lambda_1(G) + 1$ .

So,  $\chi(G) \leq \lambda_1(G) + 1$ . □