## Lecture 2

Assignment 1 is out, due in February 15th

Will be going into more depth on the stable matching problem, and then on "math background".

## Example

Suppose we have students A, B, C and companies X, Y, Z, with their preferences as follows:

A: X, Y, Z B: X, Z, Y C: Y, Z, XX: B, A, C Y: C, A, B Z: A, B, C

Is (A, X), (B, Z), (C, Y) a stable matching?

No, B and X would rather defect to pair up with each other.

## Gale-Shapley algorithm

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Initially, all companies and students are unengaged.
While there is a company C that is unengaged and hasn't made an offer
to every student:
 Let S be the highest ranked student for C to whom C has not yet made
 an offer.
 If S is unengaged then
   (C, S) become engaged.
 Else
   Let C' be the company that S is engaged to.
   If S prefers C to C' then
      (C, S) become engaged
      C' becomes unengaged
    endif
  endif
endwhile
Output the set of engaged pairs
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Lemma 1: Once a student receives an offer, she remains engaged until the end of the algorithm, and her job can only improve over time.

*Proof*: An unengaged student who receives an offer always becomes engaged.

An engaged student can only switch companies; they cannot become unengaged.

A student only switches to a company they prefer.  $\hfill\square$ 

Lemma 2: As the algorithm proceeds, the sequence of students engaged to a given company can only decrease in preference over time.

Proof: Companies make offers in decreasing preference order.

<u>Lemma 3</u>: If there are n companies, then the algorithm will terminate after at most  $n^2$  iterations of the while loop.

<u>*Proof:*</u> Let P(t) be the set of pairs (c, s) such that c has made an offer to s by the end of the tth iteration.

We have |P(t+1)| = |P(t)| + 1.

Since the sequence of values |P(t)| is strictly increasing (by exactly 1 every time), and there are exactly  $n^2$  pairs (c, s), the algorithm must terminate after at most  $n^2$  steps.  $\Box$ 

Lemma 4: The algorithm outputs a perfect matching. (every student has an offer, and every company is engaged)

<u>*Proof:*</u> New engagements are only created between parties who are not otherwise engaged (either because they were never previously engaged, or because they broke an engagement and took a new one), so the set of engagements is always a matching (I think this means you can't have multiple people paired to a company and vice versa).

Suppose that at the end of the algorithm (which happens by Lemma 3), there is some company c and student s who are unengaged.

Then c must have made an offer to s. But once a student receives an offer, they remain engaged until the end of the algorithm (by Lemma 1).

This is a contradiction, so the matching must be perfect.  $\Box$ 

<u>Theorem</u>: The matching output by the algorithm is stable.

<u>*Proof:*</u> Suppose (for a contradiction) that there is some pair (c, s) such that c prefers s to its assigned student s' and s prefers c to their assigned company c'.

Then, c must have made an offer to s before s' (since it makes offers in decreasing preference order). What went wrong?

Either:

- *s* had a job she preferred to *c*, so she rejected the offer, or
- *s* accepted *c*'s offer, but later switched to a company that *s* preferred more.

Either way, s ends up with a job they prefers to c.

But s actually ends up with c', which is less preferred than c, which is a contradiction.  $\Box$ 

Therefore, the algorithm is correct.

We also showed that it runs in time  $O(n^2)$ , but to describe the input takes around  $2n^2$  words (each company and student has n students/companies to list for their preferences), so in a way the running time increases linearly with input size, which is pretty good.

## Math Background

Asymptotic notation

• We'll use big-O notation to express upper bounds on running times of algorithms.

Example: Running time  $10n^2 + 2n + 5000 \in O(n^2)$ 

Definition: We say  $f(n)\in O(g(n))$  if  $\exists c>0, n_0\geq 0$  such that for all  $n\geq n_0,$   $f(n)\leq c\cdot g(n)$ 

We usually consider algorithms to be efficient if they run in time  $O(n^a)$  for some constant a (a polynomial time algorithm).